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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-0660A

First Named Inventor : Norio KIMURA et al.

Title: SUBSTRATE POLISHING APPARATUS AND SUBSTRATE  
POLISHING METHOD

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Small Entity Status is hereby asserted.
3. ☒ Specification [Total Pages: 47]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Sequence Listing, a table, or a computer program listing appendix.
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 8]
5. ☒ Oath or Declaration [Total Pages : 3]
- a.1. ☐ Newly executed (original or copy)
- a.2. ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet (see 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

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May 25, 2001

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05/25/01

Jc860 U.S. PTO

Jc997 U.S. PTO  
09/864208

05/25/01

Variable	Mean	Standard Deviation	Minimum	Maximum
Age	34.5	10.5	20	55
Gender	0.5	0.5	0	1
Marital Status	0.5	0.5	0	1
Education	12.5	1.5	10	15
Income	3500	1500	1000	7000
Health	0.5	0.5	0	1
Smoking	0.2	0.4	0	1
Alcohol	0.1	0.3	0	1
Exercise	0.3	0.5	0	1
Stress	0.4	0.5	0	1
Sleep	0.5	0.5	0	1
Diet	0.5	0.5	0	1
Work	0.5	0.5	0	1
Family	0.5	0.5	0	1
Friends	0.5	0.5	0	1
Hobbies	0.5	0.5	0	1
Travel	0.5	0.5	0	1
Shopping	0.5	0.5	0	1
Reading	0.5	0.5	0	1
TV	0.5	0.5	0	1
Music	0.5	0.5	0	1
Gardening	0.5	0.5	0	1
Cooking	0.5	0.5	0	1
Volunteering	0.5	0.5	0	1
Religion	0.5	0.5	0	1
Politics	0.5	0.5	0	1
Environment	0.5	0.5	0	1
Technology	0.5	0.5	0	1
Art	0.5	0.5	0	1
Sports	0.5	0.5	0	1
Traveling	0.5	0.5	0	1
Learning	0.5	0.5	0	1
Working	0.5	0.5	0	1
Living	0.5	0.5	0	1
Feeling	0.5	0.5	0	1
Thinking	0.5	0.5	0	1
Acting	0.5	0.5	0	1
Being	0.5	0.5	0	1
Doing	0.5	0.5	0	1
Having	0.5	0.5	0	1
Knowing	0.5	0.5	0	1
Understanding	0.5	0.5	0	1
Experiencing	0.5	0.5	0	1
Feeling	0.5	0.5	0	1
Thinking	0.5	0.5	0	1
Acting	0.5	0.5	0	1
Being	0.5	0.5	0	1
Doing	0.5	0.5	0	1
Having	0.5	0.5	0	1
Knowing	0.5	0.5	0	1
Understanding	0.5	0.5	0	1
Experiencing	0.5	0.5	0	1

# SUBSTRATE POLISHING APPARATUS AND SUBSTRATE POLISHING METHOD

Assistant Commissioner for Patents,  
Washington, DC 20231

Attached hereto is a check in the amount of \$790.00 to cover Patent Office fees relating to filing the following attached papers:

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

Respectfully submitted,

Norio KIMURA et al.

By

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